ESAC

COMMUNITY OF PRACTICE

04 October 2022

moderation

Colleen Campbell

ESAC and OA2020 Initiatives

Putting open access into practice

ESAC

> **ESAC is an open community of information professionals dedicated to putting the vision of open access in research communication into practice.**

Through the framework of transformative agreements, **libraries and consortia are transforming** their workflows, data streams, administrative processes, organizational structures and budget lines, in order to prepare for a fully OA paradigm

The **ESAC Community of Practice** calls are opportunities for library and consortium staff—as well as publishers and other stakeholders—to **exchange experiences in operationalizing OA** on a large scale through transformative and other OA publishing frameworks

Putting open access into practice
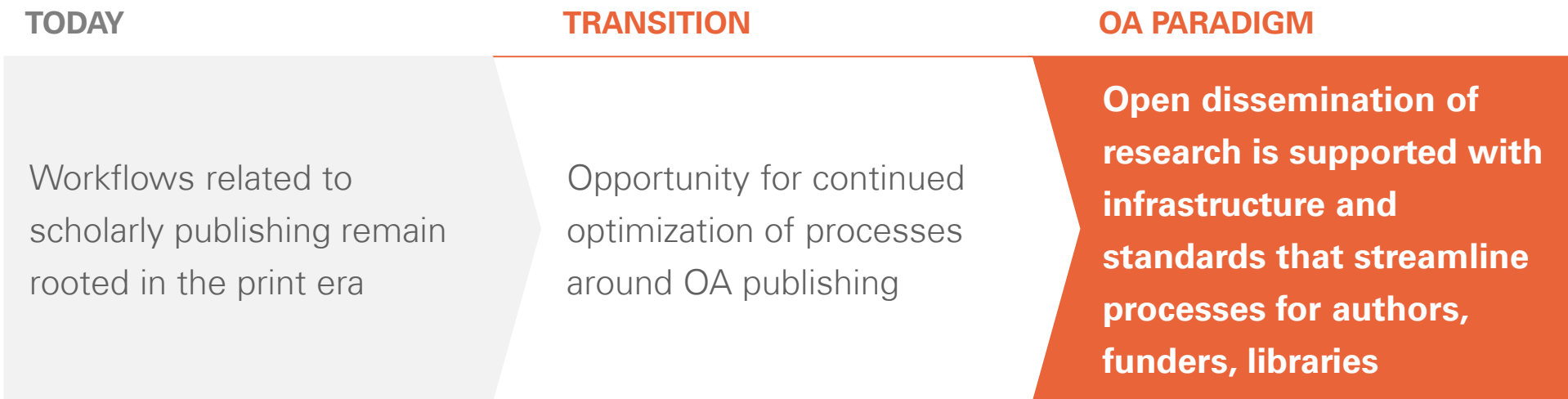
Community of Practice calls

How are transformative agreements transforming the subscription system and enabling an open paradigm?

- Open access transformation drivers

- Submission process and author engagement

- **Publishing workflow and library processing workflow**

- Business models and instituitonal investment streams

- Price transparency and cost assessment

- Open metadata and data delivery and OA monitoring

Read more on the ESAC website ESAC events

Publishing and library processing workflows

TRANSFORMATION DRIVER: OPTIMIZE PROCESSES FOR OPEN ACCESS

TAs establish accountability for continued optimization of processes around OA publishing.

TODAY	TRANSITION	OA PARADIGM
Workflows related to scholarly publishing remain rooted in the print era	Opportunity for continued optimization of processes around OA publishing	**Open dissemination of research is supported with infrastructure and standards that streamline processes for authors, funders, libraries**

Source   ESAC Reference Guide to Transformative Agreements – How Transformative Is It? spectrum

Publishing and library processing workflows

ESAC WORKFLOW RECOMMENDATIONS (2021 UPDATE)

Responsibilities of institutions/funders/consortia

Workflows

- Perform eligibility checks as soon as possible

Invoicing

- If invoices are generated under the agreement, provide standardized invoicing data to be used in all invoices

Read more on the ESAC website 2021 Enhancement to the ESAC Workflow Recommendations

Publishing and library processing workflows

ESAC WORKFLOW RECOMMENDATIONS (2021 UPDATE)

Responsibilities of publishers

Workflows

- Reliable automatic eligibility checks

- Easy for authors to switch from OA publication to subscription and the other way around

- Make authors aware of OA mandates/policies

- Insert funding notes automatically

- Accommodate use of shared dashboard accounts

- Easy transfer of funding requests

- Eligibility checks ideally processed in dedicated dashboard

- Flipped journals should remain eligible for publishing

- Include date of agreement eligibility in metadata provided

- Monitoring dashboard for workflow quality issues

Invoicing

- It should be possible to keep authors out of any invoicing process or include them where information on costs should be conveyed

- Both bulk invoicing and per-article invoicing should be possible

Read more on the ESAC website 2021 Enhancement to the ESAC Workflow Recommendations

ESAC COMMUNITY OF PRACTICE

Publishing workflow and library processing workflow

with

Yvonne Campfens Executive Director, OA Switchboard

Sze-Kie Ho Director, Wiley Open Access Accounts (Product Strategy and Operations) and Open Access Transition

Lisa Lovén License Manager, Bibsam Consortium, National Library of Sweden

ESAC COMMUNITY OF PRACTICE

Coming up next

How are transformative agreements transforming the subscription system and enabling an open paradigm?

- Open access transformation drivers

- Submission process and author engagement

- Publishing workflow and library processing workflow

- **Business models and institutional investment streams**

- Price transparency and cost assessment

- Open metadata and data delivery and OA monitoring

esac-initiative.org | @ESACinitiative