



The new ESAC Resources on Transformative Agreements

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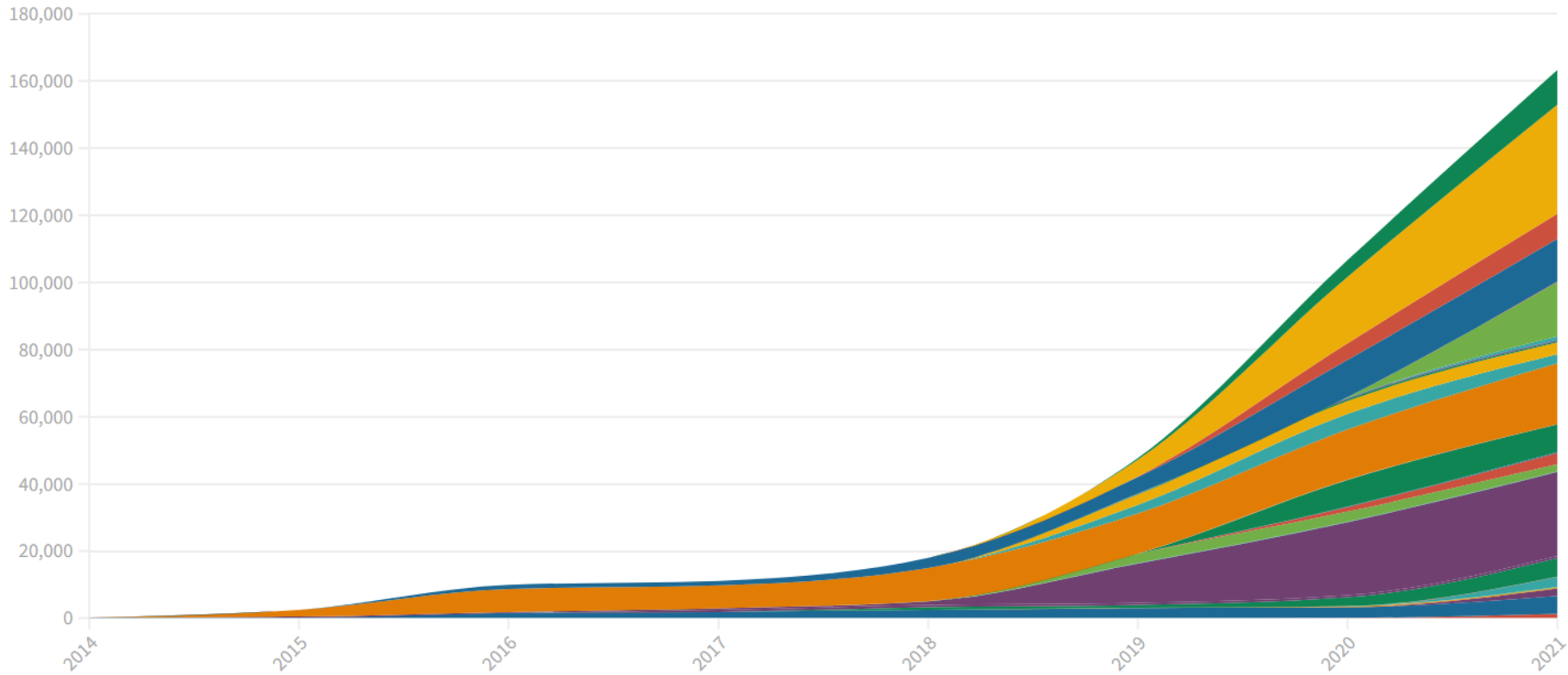
ESAC is an open community of information professionals dedicated to putting the vision of open access to research into practice.

- Optimizing open access publishing workflows for authors and libraries
- Promoting standards and good practice related to data exchange and analysis
- Increasing transparency around the market conditions in scholarly publishing
- Sharing experience and insights to make our publisher negotiations more impactful
- Building capacity in libraries and consortia to prepare for a fully open access paradigm

Progress of TAs



- Australia
- Austria
- Belgium
- Canada
- China
- Croatia
- Czech Republic
- Denmark
- EIFL (International)
- Estonia
- Finland
- France
- Germany
- Ghana
- Greece
- Hungary
- Ireland
- Israel
- Italy
- Japan
- Latvia
- Moldova
- Netherlands
- Norway
- Palestine
- Poland
- Qatar
- Saudi Arabia
- Serbia
- Slovenia
- South Korea
- Spain
- South Africa
- Sweden
- Switzerland
- United Kingdom
- United States



Source ESAC Market Watch
[Based on [ESAC Registry of Transformative Agreements](#); last updated: 11 Jan 2022]

The new ESAC Resources



ESAC REFERENCE GUIDE TO TRANSFORMATIVE AGREEMENTS

Whether starting to develop your own open access strategy or assessing a publisher “read and publish” offer for the first time, adapting to the changes underway in the scholarly publishing landscape can be daunting. Luckily, clear signposts have emerged and, thanks to the excellent resources shared by the community, there is no need to re-invent the wheel.

The ESAC Reference Guide is the narrative manifestation of a mapping exercise conducted in Spring 2021 by members the international ESAC community that have accumulated deep, first-hand knowledge and expertise in the negotiation and implementation of transformative agreements with scholarly publishers. Threading together and contextualizing the many local guidelines, recommendations, toolkits, templates and data openly available, the reference guide serves as an authoritative and essential orientation for librarians and consortium staff just beginning to approach or looking to update their transformative agreement strategies based on the latest benchmarks.

The ESAC Reference Guide develops through the phases of **preparing**, **negotiating** and **implementing** an agreement, but libraries and library consortia each have their own unique starting points, and the steps they take in adopting transformative agreements will have local flavors.



HOW TRANSFORMATIVE IS IT?

A spectrum of transformation drivers leading to an open scholarly publishing paradigm



2021 Enhancement to the ESAC Workflow Recommendations

Experts from the international ESAC community came together in 2021 to review the current ESAC Workflow Recommendations (2017) and make enhancements based on their critical insights and experience accumulated through implementation of transformative and central open access publishing agreements at the forefront of the open access transition in scholarly publishing.

This 2021 enhancement to the ESAC Workflow Recommendations comprises an updated perspective on the responsibilities of the contractual partners and the metadata necessary to optimize workflows around open access publishing.

Contact ESAC if you would like to suggest additional enhancements to the ESAC Workflow Recommendations based on your own experiences.

Responsibilities of institutions/funders/consortia

[Show More](#)

Responsibilities of publishers

[Show More](#)

Relevant metadata

[Show More](#)

Pre-negotiation and negotiation



Derive key insights based on data

Plan for the reorganization of financial streams

Find common ground and mutual gain

How transformative is it?

TAs have to be practical: recommendations come from problems in day-to-day work

What's new?

- Regularly updated journal lists and a simple way of identifying who has publishing rights in which journals
- Regularly updated slides to illustrate the author-facing workflow
- Easy change of publishing model
- Institutional forwarding for individual articles
- Dedicated agreement contact points

TAs have to be practical: recommendations come from problems in day-to-day work

What's required before implementation?

- Make sure all the critical points are covered and agreed on between institutions/publishers before implementation
- More customized workflows/author journeys from the beginning
- Acknowledgement that one size doesn't fit all

TAs have to be practical: recommendations come from problems in day-to-day work

What do we expect going forward?

- Better author identification
- Better author-facing communication
- Better integration between institution/publisher side
- More flexibility
- Easier way to convert subscription articles to OA when authors were not correctly recognized or have made a wrong choice

Specify key terms and concepts

- What to count
- How/when to count

Standardize reports

Verify data

Get involved in ESAC!

Explore the ESAC
resources at



esac-initiative.org

Email us at



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